



YOUR COURSE GWJI
HESHAM HAMDY

COMPLIANCE, INTERNAL AUDIT & RISK MANAGEMENT TRIANGLE

KEY
TOPICS

- The Risk, Compliance & Internal Audit Triangle
- The evolution of Internal Auditing towards Risk-based Internal Auditing
- Money Laundering & Terrorism financing
- Risk Catalog & Risk Taxonomies
- Role of Risk Management and Board of Directors at the COVID-19 Pandemic Time





MEET YOUR TRAINER

**Hesham Hamdy,
International CG Consultant,
Arab Expertise House**

An experienced Corporate Governance, Risk Management and Internal Audit Expert who has held the following key senior positions:

Chief Risk Officer of Arab International Bank, Chief Risk Officer of Bank of Alexandria-Intesa Sanpaolo Bank, Chief Audit Executive of Bahrain Islamic Bank, Chief Compliance Officer of Bank of Alexandria-Intesa Sanpaolo Bank, Quality Assurance Team Head of Egyptian American Bank.

Partial List of Organizations that have benefited from Hesham Hamdy's expertise include, for example:

- Uganda National Bureau Standards / (Commonwealth Standards Network)
 - Permodalan Nasional Berhad (PNB), Malaysia
 - TABUNG AMANAH PEKERJA (TAP) in Brunei
- Centre for Islamic Banking, Finance and Management (CIBFM), Brunei
(Rebranded now: Brunei Institute of Leadership & Islamic Finance - BILIF)
- Bank Islam Brunei Darussalam (BIBD) in Brunei
- Emirates Institute for Banking & Financial Studies, Dubai (EIBFS)
- ESLSCA (Ecole Supérieure Libre des Sciences Commerciales Appliquées) which is a private and independent institution of higher education (a Grande Ecole de Commerce)
- The Egyptian Banking Institute (EBI) under the umbrella of the Central Bank of Egypt (CBE)
- Oil & Gas Skills Training Center; the training center of all oil and gas companies operating in Egypt
 - TAWAZUN Economic Council, Abu Dhabi
 - Signals Intelligence Agency (SIA), Abu Dhabi, UAE
 - Oman Food Investment Holding Co. (S.A.O.C) - OFIC
 - Riyadh Bank - Riyadh, KSA
 - National Commercial Bank (NCB), Jeddah, KSA
 - Saudi Telecommunications Company (STC), KSA
 - The General Organization of Social Insurance (GOSI), Riyadh, KSA
 - Yanbu Cement Company, Jeddah, KSA
 - AlMutlaq Holding, Riyadh, KSA
 - Abu-Dhabi Islamic Bank (ADIB), Egypt
 - Abu Dhabi Housing Authority
 - Bank of Jordan, Amman
 - Jordan Kuwait Bank
 - Oman Development Bank, Muscat
- Oman Food Investment Holding Company (OFIC), Muscat
 - Commercial International Bank (CIB), Egypt



Many public training courses in London, Singapore, KL Malaysia, Jordan, Sri Lanka, Thailand, Qatar, Brunei, Dubai and Egypt.



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COURSE INTRODUCTION

This 5-days program will explain Governance tools of Risk Management, Compliance and Internal Audit, its added value, the obstacles and how to implement it practically and successfully.

The content is designed not only to provide an all-round advanced level exposure to critical areas of GRC, but also to broader trends across regulatory areas.

COURSE OBJECTIVES

This training course is structured to enhance full understanding of the ideologies and methods of Risk Management, Compliance and Internal Audit within Corporate Governance in one program.

It aims at attaining and improving the concepts, roles, tools, added value and functions of the Governance environment tools within an organization distinguishing between Compliance, Risk Management, and Internal Audit roles in order to achieve cooperation among these functions and improve their process and harmony.

At the end of this seminar, you will learn to:

- Understand fundamental concepts of Corporate Governance, its guiding principles and content elements
- Learn the necessary components to help your organization become a Principled Performer
 - Align risk and compliance in context of your organization
 - Assimilate the Risk Management Taxonomies
- Implement the Compliance New Approach; Risk-based Compliance
 - Enhance convergence of Corporate Governance components
- Realize Risk Management, Internal Audit and Compliance relationship
- Link CG to organizational risk considerations, international frameworks and standards to provide an organizational specific risk focused entity
- Improve alignment to mission, vision and values of the organization



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TRAINING METHODOLOGY

A variety of training methods to reach the participants will be applied. A number of methods will include lecturing, exercises, group discussion and sharing experiences.

ORGANISATIONAL IMPACT

This workshop gives you up-to-date perceptions into the domain of corporate governance, risk management, compliance and internal audit especially for those who are looking to become executives in their organizations very soon.

By the end of this programme you will learn:

- Key Principles of Good Governance, Benefits & Barriers
- Roles and Responsibilities of the Compliance Officer
- Best strategies for building high-quality governance framework
 - Audit New Approach; Risk-based Audit
 - Compliance New Approach; Risk-based Compliance
- The Risk management, Compliance & Internal Audit Departments' Triangle
- Alignment of Compliance to mission, vision and values of the organization
- Manage governance function within different business contexts

PERSONAL IMPACT

Delegates will leave with a sense of purpose towards strong Corporate Governance for sustained business growth, as well as a new appreciation of Risk and Compliance not as resource based cost centres, but as business enablers and long-term value partners.

Upon the completion of this advanced training course, participants will gain:

- Enhanced convergence of CG components
- Improved alignment to mission, vision and values of the organization
 - Improved performance and accountability
 - Increased decision-making agility
- Improved confidence in managerial competences

WHO SHOULD ATTEND?

This Workshop has been researched and developed for Directors, Managers, Superintendents, Supervisors, Engineers, Planners, Team Leaders, Project Coordinators, Human Resource Management, and Sales & Marketing in the technical and non-technical departments from in every functional area of responsibility in all industries.



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COURSE OUTLINE



DAY ONE
1 AUGUST 2022



SESSION ONE: CORPORATE GOVERNANCE; WHAT IS IT EXACTLY?

- Corporate Governance; Definition, Concept, Rules, Principles
 - Responsibilities of Top Management & board in maintaining good CG
 - Effective Executive Management & Board Members
 - Disclosure and Transparency
 - Social Corporate Responsibility
- Group Discussion

SESSION TWO: CORPORATE GOVERNANCE; HOW TO ENFORCE IT PRACTICALLY?

- Mechanisms & processes by which corporations are controlled
- Difference between Executive and Non-Executive Board Members
 - Board's Committees; roles and responsibilities
- Role of Audit Committee / Risk Management Committee
 - Remuneration Committee; a sensitive role
- Five Steps to Improve Corporate Governance



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COURSE OUTLINE

DAY TWO
2 AUGUST 2022



SESSION THREE: THE RISK, COMPLIANCE & INTERNAL AUDIT TRIANGLE

- The Fundamental Differences and Importance of its Convergence
 - Overlapping: Healthy or Wasteful?
 - Cooperation & Collaboration among these functions
- Corporate Governance Committees; Audit Committee is No. 1
 - Effective Executive Management & Board Members

SESSION FOUR: RISK CATALOG & RISK TAXONOMIES

- Introduction to different types of risks categories
 - Risk Management Universe
 - Risk Management Framework
- Fundamental Concepts of Enterprise Risk Management
 - Identifying credit risks / financial risks
 - Identifying operational risks
 - Identifying legal risks
 - Identifying political / sovereign risks
- Identifying ethical risks / fraudulent risks
 - Identifying non-compliance risks
 - Identifying reputational risks



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COURSE OUTLINE



DAY THREE

24 AUGUST 2022

SESSION FIVE: THE EVOLUTION OF INTERNAL AUDITING TOWARDS RISK-BASED INTERNAL AUDITING

- What is risk-based internal auditing?
- The transition from system-based to risk-based internal auditing
 - Different approaches to internal auditing
 - Risk-Based Audit Approach
 - Risk-Based Audit Methodology
- How and why audit units move into risk based audit programs
 - Audit the Control Environment

SESSION SIX: PREPARE RISK-BASED INTERNAL AUDIT PLAN AND EXECUTE IT

- Determination of internal auditing universe
 - Risk Mapping
 - Risk Registration
- Developing internal auditing plan
- Preparation of a clear plan to be approved by Audit Committee
 - Efficiency in the non-recurrence of Audit Notes
- Audit references to policies and Manual of Operational Rules & Regulations
 - Identification and classification of audit risk

add value
independent
assurance
Internal Audit
risk compliance
objective
effective
consultant
efficient
improve



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COURSE OUTLINE



DAY FOUR
25 AUGUST 2022



SESSION SEVEN: COMPLIANCE RISK ASSESSMENT & MANAGEMENT

- Definition, Responsibilities and Goals
- Risk assessment and management methodology
- Surveying for threats & assessing vulnerabilities
 - Risk tolerance and residual risk
- Designing controls for Risk Mitigation-Self-Assessments
 - Review design and auditor selection
- Program development / Computer-assisted auditing techniques

SESSION EIGHT: MONEY LAUNDERING & TERRORISM FINANCING

- Definition, Responsibilities and Goals
- Money Laundering Threats and Methods
- Terrorist Financing Threats and Methods
 - International Standards for AML/CTF

Group Case Study: HSBC



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COURSE OUTLINE



DAY FIVE
26 AUGUST 2022



SESSION NINE: ROLE OF RISK MANAGEMENT AND BOARD OF DIRECTORS AT THE COVID-19 PANDEMIC TIME

- Role of Risk Management Department at the pandemic times
 - Risk Control Self-Assessment (RCSA) and Heat Map
 - Identifying new risks during pandemic hard times
- Enterprise Risk Management brings many benefits as it takes a front seat in the time of crisis
- Business Continuity, Disaster Recovery and Resiliency Plans
 - Business and Financial Lessons Learnt during COVID-19



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Dar es Salaam is Tanzania's most prominent city for arts, fashion, media, film, television, and finance. It is the capital of the co-extensive Dar es Salaam Region, one of Tanzania's 31 administrative regions, and consists of five districts: Kinondoni in the north; Ilala in the centre; Ubungu and Temeke in the south; and Kigamboni in the east across the Kurasini estuary.



\$ 1,550 per delegate



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